

Rubicon Financial Network Privacy Policy

Reviewed August 31, 2016

Our Commitment to You.

Rubicon Financial Network is committed to keeping the personal information collected from our potential, current and former clients confidential and secure. The proper handling of your personal information continues to be one of our highest priorities. We want to be sure that you know why we need to collect personal information from you. We also want to explain to you our commitment to protect the information you provide to us.

Information We Collect.

We collect only information that is necessary for us to provide services requested by you and to administer your business with us. We may obtain your nonpublic personal information from several sources including:

From you when you complete our questionnaires for comprehensive financial and estate planning, or applications and other forms when you inquire about or purchase securities, insurance products or investment advisory services. This could include information such as name, address, social security number, assets, income and medical information that you authorized us to collect for insurance products. This could also include account numbers, account balances, parties to transactions, and transactions completed with us.

Information We Disclose.

Rubicon Financial Network does not disclose any nonpublic personal information about our potential, current or former clients to anyone, excepted as permitted or required by law, including the Fair Credit Reporting Act. For example, federal regulations permit us to share a limited amount of information about you with our brokerage firm in order to execute securities transactions on your behalf, or so that our firm can discuss your financial situation with your accountant or lawyer.

Protection of Your Information.

We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk. All present and future employees and independent representatives are required to keep client information confidential both during and after the term of their employment or association with our firm. We **do not** provide your personally identifiable information to mailing list vendors or solicitors for any purpose.

For further information, please contact our firm at 480-820-7177